PERSONAL FINANCIAL STATEMENT

for National Equity Funding

Federal law requires all financial institutions obtain,

verify and record information that identifies each person who opens an account.

When you open an account, we must ask for your name, address,

date of birth, tax ID number and other information that allows us to identify you.

We may also ask to see your driver's license or other identifying documents.

1. This is a fillable pdf. Therefore you may choose to download this file to your computer, open, fill out online, save, then print, sign, scan and return by email.
2. OR print out and complete pages 1-4 by hand, scan and return via email.
3. Please note that page 4 requires a signature.
4. Email completed forms to sam@nationalequityfunding.com

PERSONAL FINANCIAL STATEMENT for National Equity Funding

IMPORTANT NOTICE: Under California law, property (including salary and wages) acquired by either spouse during marriage is the community property of both. Property acquired before marriage by gift or inheritance and the income there from is the sole and separate property of the spouse who acquired it, but it may thereafter become community property (in whole or in part) under certain circumstances. Please consult your legal and financial advisers if you are uncertain about the status of any property.

Community Obligation: This financial statement is submitted as a community financial statement in support of an obligation that will bind the undersigned's marital community.

Sole and Separate Obligation: This financial statement is submitted as a sole and separate financial statement to support a sole and separate obligation of the undersigned (and is not based upon the creditworthiness of the marital community, if any.) All sole and separate income, assets and debts for which I am obligated are listed below.
No community property (such as wages and salary) is listed below and no information (except name) is provided on my spouse (if any).

Personal Financial Statement as of: ____________________________

Name	Employer
Address	Position Years
City	Email
State Zip	Spouse Name
Home Phone	Spouse SSN
Business Phone	Spouse Date of Birth
SSN	
Date of Birth	
To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. In compliance with the USA Patriot Act Sec. 326, we must ask for your name, address, date of birth, driver's license number, issue and expiration dates, all of which will allow us to identify you.	
Drivers Lic #	Spouses Drivers Lic #
State	State
Date Issued Expires	Date Issued Expires

☐ **Check here** if you are attaching your own financial statement to this form in lieu of filling out those provided here. Regardless, it is necessary that you complete the section above, as well as read and sign page 4 of this document.

ASSETS	**VALUE**	**LIABILITIES**	**VALUE**
Cash in Primary Bank		Notes Payable to Primary Bank	
Cash in Other Banks		Notes Payable to Other Banks	
Cash Surrender Value of Life Insurance (Schedule A)		Accounts Payable/Credit Cards	
Stocks & Bonds (Schedule B)		Taxes Payable	
IRA and Tax Deferred Accounts		Loans on Life Insurance (Schedule A)	
Est Real Estate Market Value (Schedule C)		Loans on Vehicles	
Receivables - Secured by Real Estate Only		Real Estate Indebtedness (Schedule C)	
Autos and Personal Property		Other Debts - Itemize	
Other Assets (Describe)			
		TOTAL LIABILITIES	
TOTAL ASSETS		**NET WORTH** (Total Assets Minus Total Liabilities)	

PERSONAL FINANCIAL STATEMENT for National Equity Funding

NOTE: Alimony, child support, or separate maintenance income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation. Alimony, child support, separate maintenance received under: Court Order, Written Agreement, Oral Agreement.

ANNUAL INCOME	**VALUE**	**ANUAL EXPENSES** (Exclude Ordinary Living Expenses)	**VALUE**
Salary Bonuses & Commissions		Real Estate Payments (P&I) (Schedule C)	
Net Income from Business or Profession		Rent	
Interest & Dividend Income		Income Taxes	
Rental Income		Insurance Premiums	
Other Income (Describe)		Property Taxes	
		Payments on Contingent Liabilities	
		Credit Card Payments	
		Installment Payments	
		Other Payments (Describe)	
TOTAL INCOME		**TOTAL EXPENSES**	

GENERAL INFORMATION		**CONTINGENT LIABILITIES**	**AMOUNT**
Are you a defendant in any lawsuits or legal actions?	☐ Yes No ☐	As Endorser, Co-maker or Guarantor	
Have you declared bankruptcy or compromised debt?	☐ Yes No ☐	Leases or Contracts	
Have you made a will?	☐ Yes No ☐	Legal Claims	
Income Tax Returns filed through what year?		Federal or State Income Tax Liens	
Are any assets held in a trust?	☐ Yes No ☐	Brief Note:	
If yes, name of trust:			

PERSONAL FINANCIAL STATEMENT for National Equity Funding

SCHEDULE A - Life Insurance (List only those policies that you own)					
Company	**Insured**	**Beneficiary**	**Face Value/Death Benefit**	**Cash Value**	**Policy Loan from Insurance Company**
			TOTALS		

SCHEDULE B - Stocks & Bonds (List only those that are "Non-Retirement" related)				
Face Value-Bonds/ No. of Shares Stock	**Description**	**Owner**	**Market Value (Marketable)**	**Market Value (Not Readily Marketable)**
		TOTALS		

SCHEDULE C - Real Estate					
Address	**Costs**	**Market Value**	**Amount Owing**	**Monthly Pmt**	**To Whom Payable**
	TOTALS				

PERSONAL FINANCIAL STATEMENT for National Equity Funding

CONTINGENT LIABILITIES					
Loan Name	**Current Balance**	**Monthly Payment**	**To Whom Payable**	**Collateral**	**% Contingency**

Are you aware of any covenant violations? Yes ☐ No ☐
If yes, please explain here:
Legal Claims/Federal or State Tax Liens? Yes ☐ No ☐
If yes, please explain here:

I (we) hereby affirm that the foregoing information contained in this financial statement is presented for the purpose of obtaining credit as of the date indicated and is true, complete, and correct. I (we) understand Lender is relying on this statement of my (our) financial condition in for the purpose of evaluating my (our) loan request(s). By signing below, I authorize National Equity Funding to make any investigation of my credit either directly or through any agency employed by the Bank for that purpose in connection with my/our guaranty of new loans, extensions, renewals, annual review or modifications of credit. I agree to provide any additional information that the Lender may request.

Signature____Date____________________________________

Spouses Signature____Date_______________________________________